

**PJM WHOLESALE BROKERS, LLC**

NHPUC 4DEC14AM11:35

***Electric Aggregation Renewal Application  
2014 Filing***

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**ABSTRACT**

*The following Electric Aggregation Renewal Application herein is respectfully submitted pursuant to New Hampshire Administrative Code [Puc 2006.02]*

## BEFORE THE NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

Application of PJM Wholesale Brokers, LLC for renewal certification as an electric Aggregator in accordance with Puc 2006.02	) ) ) ) )	Docket No. DM-13-035
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*(1) The legal name of the applicant as well as any trade name(s) under which it intends to operate in New Hampshire.*

PJM Wholesale Brokers, LLC dba US Grid Energy LLC

*Previously, we listed NEISO Power Brokers as an alternate trade name, which we do not use this name at all. Instead, please note that US Grid Energy LLC is used as the current alternate.*

*(2) The applicant's business address, telephone number, email address and website address, as applicable.*

18 Abbott Road  
 Hamilton, NJ 08690  
 T: 732-766-3446  
 E: michael.lento@pjmwholesalebrokers.com  
 W: [www.usgridwholesale.com](http://www.usgridwholesale.com)

*(3) The names(s), title(s), business address(es), telephone number(s), and email address(es) of the applicant if an individual or of the applicant's principal(s), if the applicant is anything other than an individual.*

PJM Wholesale Brokers, LLC is a single member Limited Liability Company. At the time of this filing, there are no other principals or members to disclose.

Michael Lento  
 Principal Member  
 PJM Wholesale Brokers, LLC dba US Grid Energy LLC  
 18 Abbott Road  
 Hamilton, NJ 08690  
 T: 732-766-3446  
 E: michael.lento@pjmwholesalebrokers.com  
 W: [www.usgridwholesale.com](http://www.usgridwholesale.com)

*(4) The telephone number of the customer service department or the name, title, telephone number and email address of the customer service contact person of the applicant, including toll-free numbers if available.*

Michael Lento  
 Principal Member  
 T: 732-766-3446  
[michael.lento@pjmwholesalebrokers.com](mailto:michael.lento@pjmwholesalebrokers.com)  
 Toll Free: 855-756-7283

*(5) A copy of the applicant's authorization to do business in New Hampshire from the secretary of state.*

Please see the attached Schedule A

*(6) Description of the geographic areas of New Hampshire in which the applicant intends to provide service, consistent with Puc 2006.01(a)(10).*

Applicant intends to provide service in the following utility service territories:

- a) Granite State Electric Company
- b) New England Power Company
- c) NH Electric Cooperative Inc.
- d) Public Service Company of New Hampshire
- e) Unitil Energy Systems, Inc.
- f) Unitil Power Corporation

*(7) A statement that the applicant is not representing any supplier interest or a listing of any supplier interest the applicant intends to represent.*

Applicant hereby states that it is not representing any supplier interest.

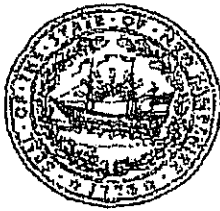
*(8) Except as provided in 2003.04(e), payment of the required filing fee*

Applicant fee of \$125 enclosed herein.

*(9) The signature of the applicant or its representative.*

Applicant, labeled Schedule B, contains a statement affirming the accuracy and completeness of the information herein provided.

**Schedule A**



State of New Hampshire  
Department of State  
Corporation Division  
603-271-3246



Enclosed is the acknowledgment copy of your Application for Registration as a Foreign Limited Liability Company. It acknowledges this office's receipt and filing of your documents.

Should you have any questions, you may contact the Corporation Division at the above number or email us at [corporate@sos.state.nh.us](mailto:corporate@sos.state.nh.us). Please reference your Business ID # located in the filed section of the enclosed acknowledgement copy of Application for Registration as a Foreign Limited Liability Company.

Please visit our website for helpful information regarding all your business needs.

Regards,

New Hampshire Department of State  
Corporation Division

Business ID#: 685029

Mailing address - 107 North Main Street, State House room 204, Concord, N.H. 03301-4989  
Physical Location - 25 Capitol Street, State House Annex - 3<sup>rd</sup> Floor, Concord NH  
Forms on Web - [www.nh.gov/sos/corporate](http://www.nh.gov/sos/corporate)

# State of New Hampshire

Filing fee: \$ 50.00  
Fee for Form SRA: \$ 50.00  
Total fees: \$100.00  
Use black print or type.

Filed  
Date Filed: 01/17/2012  
Business ID: 880025  
William M. Gardner  
Secretary of State

Form FLLC-1  
RSA 304-C:12

## APPLICATION FOR REGISTRATION AS A FOREIGN LIMITED LIABILITY COMPANY

PURSUANT TO THE PROVISIONS of the New Hampshire Limited Liability Company laws, the undersigned hereby applies for registration to transact business in New Hampshire and for that purpose submits the following statement:

FIRST: The name of the limited liability company is PJM Wholesale Brokers, LLC

SECOND: The name which it proposes to register and do business in New Hampshire is \_\_\_\_\_

THIRD: It is formed under the laws of Delaware

FOURTH: The date of its formation is November 15, 2012

FIFTH: The nature of the business or purposes to be conducted or promoted in New Hampshire is \_\_\_\_\_  
any lawful purpose, ELECTRICITY AND NATURAL GAS BROKER

SIXTH: The name of its registered agent in New Hampshire is National Registered Agents, Inc.

and the street address, town/city (including zip code and post office box, if any) of its registered office is  
(agent's business address in New Hampshire) 63 Pleasant Street, Concord, NH 03301

SEVENTH: The sale or offer for sale of any ownership interests in this business will comply with the requirements of the New Hampshire Uniform Securities Act (RSA 421-B).

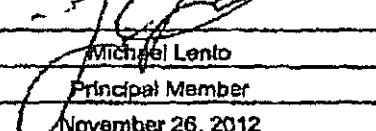
State of New Hampshire  
Form FLLC 1 - Application for Foreign Registration (FLLC 3 Page(s))



T1301425034

APPLICATION FOR REGISTRATION AS A  
FOREIGN LIMITED LIABILITY COMPANY

Form FLLC-1  
(Cont.)

\*Signature:   
Print or type name: Michael Lento  
Title: Principal Member  
Date signed: November 26, 2012

Complete address of person signing: 18 Abbot Road  
Hamilton, NJ 08690

To receive your ANNUAL REPORT REMINDER NOTICE by email, please enter your email address here:  
michael.lento@pjmwholesalebrokers.com

\* Shall be executed on behalf of the foreign limited liability company by a person with authority to do so under the laws of the state or other jurisdiction of its formation, or, if the foreign limited liability company is in the hands of a receiver, executor, or other court appointed fiduciary, trustee, or other fiduciary, it must be signed by that fiduciary.

DISCLAIMER: All documents filed with the Corporation Division become public records and will be available for public inspection in either tangible or electronic form.

Mail fees, DATED AND SIGNED ORIGINAL AND FORM SRA to: Corporation Division, Department of State, 107 North Main Street, Concord NH 03301-4888. Physical location: 26 Capitol Street, 3<sup>rd</sup> Floor, Concord, NH 03301.

**Form SRA – Addendum to Business Organization and Registration Forms  
Statement of Compliance with New Hampshire Securities Laws**

**Part I – Business Identification and Contact Information**

Business Name: PJM Wholesale Brokers, LLC

Business Address (include city, state, zip): 18 Abbott Rd, Hamilton, NJ 08690

Telephone Number: (732) 766-3448 E-mail: michael.lento@pjmwholesalebrokers.com

Contact Person: Michael Lento

Contact Person Address (if different): same as above

**Part II – Check ONE of the following items in Part II.** If more than one item is checked, the form will be rejected. **[PLEASE NOTE:** Most small businesses registering in New Hampshire qualify for the exemption in Part II, Item 1 below. **However,** you must insure that your business meets all of the requirements spelled out in A), B), and C)].

1. ☐ Ownership interests in this business are exempt from the registration requirements of the state of New Hampshire because the business meets ALL of the following three requirements:  
A) This business has 10 or fewer owners; and  
B) Advertising relating to the sale of ownership interests has not been circulated; and  
C) Sales of ownership interests – if any – will be completed within 60 days of the formation of this business.
2. ☐ This business will offer securities in New Hampshire under another exemption from registration or will notice file for federal covered securities. Enter the citation for the exemption or notice filing claimed - \_\_\_\_\_
3. ☐ This business has registered or will register its securities for sale in New Hampshire. Enter the date the registration statement was or will be filed with the Bureau of Securities Regulation - \_\_\_\_\_
4. ☒ This business was formed in a state other than New Hampshire and will not offer or sell securities in New Hampshire.

**Part III – Check ONE of the following items in Part III:**

1. ☒ This business is not being formed in New Hampshire.
2. ☐ This business is being formed in New Hampshire and the registration document states that any sale or offer for sale of ownership interests in the business will comply with the requirements of the New Hampshire Uniform Securities Act.

**Part IV – Certification of Accuracy**

(NOTE: The information in Part IV must be certified by: 1) all of the incorporators of a corporation to be formed; or 2) an executive officer of an existing corporation; or 3) all of the general partners or intended general partners of a limited partnership; or 4) one or more authorized members or managers of a limited liability company; or 5) one or more authorized partners of a registered limited liability partnership or foreign registered limited liability partnership.)

I (We) certify that the information provided in this form is true and complete. (Original signatures only)

Name (print):	<u>Michael Lento</u>	Signature:	<u>[Signature]</u>
		Date signed:	<u>November 26, 2012</u>
Name (print):	_____	Signature:	_____
		Date signed:	_____
Name (print):	_____	Signature:	_____
		Date signed:	_____



## Search

- By Business Name
- By Business ID
- By Registered Agent
- Annual Report
- File Online
- Guidelines
- Name Availability
- Name Appeal Process

## Filed Documents

Date: 12/3/2014

(Annual Report History, View Images, etc.)

## Business Name History

Name	Name Type
US Grid Energy, LLC	Legal
US Grid Energy, LLC	Home State
PJM Wholesale Brokers, LLC	Prev Legal
PJM Wholesale Brokers, LLC	Prev Home State

## Limited Liability Company - Foreign - Information

<b>Business ID:</b>	685029
<b>Status:</b>	Good Standing
<b>Entity Creation Date:</b>	1/11/2013
<b>State of Business.:</b>	DE
<b>Principal Office Address:</b>	18 Abbott Rd. Hamilton NJ 08690
<b>Principal Mailing Address:</b>	No Address
<b>Last Annual Report Filed Date:</b>	3/7/2014
<b>Last Annual Report Filed:</b>	2014

## Registered Agent

<b>Agent Name:</b>	National Registered Agents, Inc.
<b>Office Address:</b>	63 Pleasant Street Concord NH 03301
<b>Mailing Address:</b>	

**Important Note:** The status reflected for each entity on this website only refers to the status of the entity's filing requirements with this office. It does not necessarily reflect the disciplinary status of the entity with any state agency. Requests for disciplinary information should be directed to agencies with licensing or other regulatory authority over the entity.

**Schedule B**

VERIFICATION

STATE OF: New Jersey

COUNTY OF: Mercer

**Michael Lento**, being first duly sworn, deposes and says that he is the Principal Member of PJM Wholesale Brokers, LLC dba US Grid Energy LLC; that he has read the foregoing Renewal Application of PJM Wholesale Brokers, LLC dba US Grid Energy LLC, and all of the attachments accompanying and referred to with the Application; and that the statements contained in the Application and the attachments are true, correct and complete to the best of his knowledge, information and belief.

Michael Lento, Principal

By: \_\_\_\_\_

To be completed by a Notary Public

Subscribed and sworn to before me

this 2nd day of December, 2014

Angela Rose Sabato  
Notary Public

[Stamp of Notary]

